



40 Under 40: Joshua Kaplan, chief compliance officer, Landmark Wealth Management

By [Michelle Cioci Adams](#)
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Joshua Kaplan is chief compliance officer at Landmark Wealth Management.

Josh oversees more than \$400 million of assets and has helped the firm grow while overseeing compliance functions.

His clients include nonprofits such as the Buffalo Zoo, Habitat for Humanity and the SPCA.

Josh volunteers as a swim coach and official with the Grand Island Swim Club and developed a youth financial training seminar, which he regularly teaches to high school and college students.

Congratulations to Joshua.

Joshua Kaplan

Chief Compliance Officer, Landmark Wealth Management

Address: 2410 North Forest Road, Getzville

Age: 31

Credentials: CFA, CPWA

Live in: Buffalo

Born in: Buffalo

High school: Grand Island High School

College: SUNY Geneseo

Joined company: 2013

Community involvement: Developed and presented a financial education seminar for high-school and college students; Leadership Buffalo Rising Leaders Class of 2016; volunteer, Grand Island Swim Club; CFA Society of Buffalo; the Saturn Club

Proudest professional accomplishment: As our firm's chief compliance officer, I have developed an expertise in SEC regulations for registered investment advisers. I wrote an article on best practices for investment firms, which was published in the Investments & Wealth Monitor.

Best advice you have received: Each day you should work to make yourself invaluable, be it through work on a firm process, client relationship or another area.

Goal for next five years: I would like to publish an article or book focused on making personal finance and investing more approachable for younger investors and savers so they can start planning for their future.

Bucket list: Go hiking in Torres del Paine National Park in Patagonia.

Left in 2020: Waiting to reconnect with important people in your life that you may not have spoken to in a while.

Most fun event in last year: I went hiking and spent time outdoors with my friends in Acadia National Park.

What most people don't know about me: I am an avid triathlete and recently completed my fourth half-ironman distance race in Maine this past summer with my mom, who completed her first.

Self-description: Resourceful